Attorney Docket No. 1833

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**S**olicant:

John D. Hicks

Date:

April 28, 2004

Serial No.:

10/768,342

**Group Art Unit:** 

Filed:

January 30, 2004

Examiner:

For:

Soybean Variety XB55K04

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97(b) in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. A copy of each cited reference is enclosed, except for those references which are already part of the filewrapper. This statement is being filed within the time period specified in 37 C.F.R. §1.97(b). No fee is required.

Respectfully submitted,

Steven Callistein

Agent for Applicant(s)

Registration No. 43,525

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Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office	ATTORNEY DOCKET NO.	SERIAL NO.
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INFORMATION DISCLOSURE STATEMENT	APPLICANT	
BY APPLICANT	John D. Hicks	
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Use several sheets if necessary)	January 30, 2004	<u></u>

### **U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date .	Name	Class	Subclass	Filing Date If Appropriate
	A1	6,147,283	8/12/2003	Thomas Charles Corbin			12/22/2000
	A2	6,605,757	8/12/2003	John D. Hicks			12/22/2000

#### **FOREIGN PATENT DOCUMENTS**

	:					Translation	
	Document Number	Date	Country	Class	Subclass	Yes	No
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# OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

A3	Plant Variety Protection Certificate No. 200200103 for Soybean 95B43, issued June 10, 2002
A4	Plant Variety Protection Certificate No. 200000045 for Soybean 95B53, issued April 24, 2001
A5	Plant Variety Protection Certificate No. 200100072 for Soybean 95B96, issued February 5,
	2002

#### **U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No.	U.S. Patent Application Serial Number	Filing Date of Cited Application MM-DD-YYYY	Name of Applicant of Cited Application		
	A6	10/271,405	10/15/2002	John D. Hicks	(DO NOT PUBLISH)	
EXAMINER	₹			DATE CONSIDERED		
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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- 1. Filing Of An Information Disclosure Statement Under 37 C.F.R.§1.97(b) (1 copy)
- 2. Form PTO-1449 Information Disclosure Statement By Applicant (1 copy)
- 3. 4 References (1 copy of each)
- 4. Postcard

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.